D 2663

Docket No.: 42390P16026

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

SIEW YONG SIM

Application No.: 09/681,668

Filed: May 18, 2001

For: METHOD AND APPARATUS FOR

MINIMIZING NETWORK

**CONGESTION DURING LARGE** 

PAYLOAD DELIVERY

Art Group: 2663

Examiner: Ferris, Derrick W.

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Mark L. Watson, Reg. No. 46,322

Date: October 07, 2005

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> I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO

## Substitute for form 1449A/PTO INFORMATION DISCLOSURE SUBSTITUTE INFORMATION DISCLOSURE SUBSTITUTE STATEMENT BY APPLICANT

(use as many sheets as necessary)

**Sheet** 1 of

Complete if Known				
Application Number	09/681,668			
Filing Date	May 18, 2001			
First Named Inventor	Siew Yong Sim			
Art Unit	2663			
Examiner Name	Ferris, Derrick W.			
Attorney Docket Number				

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.¹	Document Number  Number - Kind Code² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,922,724	07-26-2005	Freeman et al.	
		US-6,711,607	03-23-2004	Goyal	
		US-6,647,408	11-11-2003	Ricart et al.	
		US-6,587,866	07-01-2003	Modi et al.	
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		US-4,914,571	04-03-1990	Baratz et al.	
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FOREIGN PATENT DOCUMENTS						
	Cite	Foreign Patent Document		1 - 1 - 1 - 1	Pages, Columns, Lines,	т•
	No.'	Country Code <sup>a</sup> - Number <sup>a</sup> - Kind Code <sup>a</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
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Examiner		Date	
Signature	1	Considered	

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003.

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 If possible. 'Applicant is to place a check mark here if English language Translation is attached.

OCT 11 7005 WE

## Complete if Known **FEE TRANSMITTAL Splication Number** 09/681,668 for FY 2005 Filing Date May 18, 2001 First Named Inventor Patent fees are subject to annual revision Siew Yong Sim Examiner Name Ferris, Derrick W. Applicant claims small entity status. See 37 CFR 1.27. Art Unit 2663 TOTAL AMOUNT OF PAYMENT 0.00 Attorney Docket No. 42390P16026 METHOD OF PAYMENT (check all that apply) ☐ Check ☐ Credit card ☐ Money Order ☐ None Other (please identify): Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the filing fee Charge fee(s) indicated below Charge any additional fee(s) or underpayment of fee(s) under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. **FEE CALCULATION** 1. EXTRA CLAIM FEES Extra Fee from Fee Paid Total Claims 41 50.00 0 \$0.00 Independent Claims 5 0 200.00 \$0.00 Multiple Dependent Large Entity Small Entity Fee Code (\$) (S) Code 50 25 Claims in excess of 20 1202 2202 1201 200 100 Independent claims in excess of 3 2201 360 180 Multiple Dependent claim, if not paid 1203 2203 \*Reissue independent claims over original patent 1204 2204 150 \*\*or number previously paid, if greater, For Reissues, see below \*\*Reissue claims in excess of 20 and over original patent 1205 300 2205 150 SUBTOTAL (1) (\$) 0.00 **ADDITIONAL FEES** Large Entity Fee Fee Fee Code Code **(**2) (\$) Fee Description Fee Paid 1051 130 2051 65 Surcharge - late filing fee or oath 1052 50 2052 Surcharge - late provisional filing fee or cover sheet. 25 2053 130 2053 Non-English specification 1251 120 2251 60 Extension for reply within first month 1252 450 2252 225 Extension for reply within second month 1253 1,020 2253 Extension for reply within third month 1254 1,590 2254 795 Extension for reply within fourth month 1255 2,160 2255 1,080 Extension for reply within fifth month 1401 500 2401 250 Notice of Appeal 1402 2402 Filing a brief in support of an appeal 500 250 1403 1,000 2403 500 Request for oral hearing 1451 1.510 2451 1.510 Petition to institute a public use proceeding 2460 1460 130 130 Petitions to the Commissioner Processing fee under 37 CFR 1.17(q) 1807 50 1807 50 1806 1806 Submission of Information Disclosure Stmt 180 180 790 1809 Filing a submission after final rejection (37 CFR § 1.129(a)) 1809 395 1810 790 2810 395 For each additional invention to be examined (37 CFR § 1.129(b)) Other fee (specify) SUBTOTAL (2) (\$) SUBMITTED BY Complete (if applicable) Registration No. Name (Print/Type) Mark L. Wat 46,322 Telephone (303) 740-1980 (Attorney/Agent) 10/07/05 Signature Date